



Broad-Based Black Economic Empowerment Commission

**Compliance Report by Companies Listed on the
Johannesburg Stock Exchange (JSE)**

(in terms of Section 13G (2) of the Act)

Case Number

FORM BBEE 1

SECTION A: DETAILS OF ENTITY

Name of Entity / Organisation	FirstRand Limited
Registration Number	1966/010753/06
Physical Address	FirstRand Ltd, 4 Merchant Place, Fredman drive, Sandton
Telephone Number	011 282 8000
Email Address	transformation@firstrand.co.za
Indicate Type of Entity / Organisation	Listed company
Industry / Sector	Financial
Relevant Code of Good Practice	Financial Sector Code
Name of Verification Agency	Mosela and SizweNtsalubaGobodo Rating Agency
Name of Technical Signatory	Nokuthula Lozane BVA080

SECTION B: INFORMATION AS VERIFIED BY THE BROAD-BASED BLACK ECONOMIC EMPOWERMENT VERIFICATION PROFESSIONAL AS PER SCORECARDS

B-BBEE Elements	Target Score Including	Bonus Points	Actual Score Achieved
Ownership	e.g. 25 points	28.00	26.00
Management Control	e.g. 19 points	20.00	15.05
Skills Development	e.g. 20 points	23.00	18.53
Enterprise and Supplier Development <small>part of empowerment finance</small>	e.g. 40 points	29.00	26.38
Socio-Economic Development / consumer financial education	e.g. 5 points	8.00	5.16
Total Score <small>Preferential procurement Access to financial services</small>	e.g. 109 points	19.00 12.00	19.00 10.57
Priority Elements Achieved	✓ YES / NO and specify them	139.00 Total score	120.69
Empowering Supplier Status	YES / NO and specify them	n/a	
Final B-BBEE Status Level	Level 1		

*indicate how each element contributes to the outcome of the scorecard

SECTION C: FINANCIAL REPORT

1. BASIC ACCOUNTING DETAILS:

a. Accounting Officer's Name:

b. Address:

c. Accounting Policy: (Your accounts are done?)

Weekly	Monthly	Other (specify)
	and to SARB	

d. Has the attached Financial Statements and Annual Report been approved by the entity?


2. PLEASE ATTACH THE FOLLOWING:

i) Copy of Annual Financial Statement including Balance Sheet and Income and Expenditure Report.

ii) Annual Report

3. Entity Annual Turnover:

4. Sign-off and Date

 <hr style="width: 80%; margin: 0 auto;"/> <p>Signature</p>	<p>9 December 2020</p> <hr style="width: 80%; margin: 0 auto;"/> <p>Date</p>
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Broad-Based Black Economic Empowerment Commission

Notice of Compliance

(in terms of Regulation 12(13))

Case Number **30/10/2020**

FORM BBEE 5

Date: 09/ 12/ 2020

To: Ms Patsy Stone

Entity Name: **FirstRand Limited**

Entity Registration Number: **1966/010753/06**

1. The B-BBEE Commission notes the report submitted by FirstRand Limited and has noticed that the entity has maintained a level 1 B-BBEE contribution status compared to previous assessment.

Name and Title of person signing on behalf of the B-BBEE Commission:

Ms. Thembakazi Dondashe, Senior Manager Compliance

Authorised Signature:
