

## Broad-Based Black Economic Empowerment Generic Verification Certificate

**Certificate No:** GEN L1/7948/170921

### FirstRand Limited

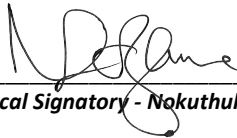
Refer to Annexure A for Entities covered by this scorecard

**Reg No.** 1966/010753/06, **VAT No.** 4900102882

**Head Office, Location:** 4 Merchant Place Cnr Rivonia & Fredman Drive, Sandton, 2196

**Verification Standard Applied:** Amended Financial Sector Code, **Scorecard Applied:** Generic

Scorecard Details	Target	Achieved	Analysis	Data
Ownership	23.00	26.00	Black Ownership	30.10%
Management Control	20.00	15.26	Black Female Ownership	13.96%
Skills Development	20.00	14.99	Black Designated Group	9.88%
Preferential Procurement	15.00	19.00	Black Youth	2.94%
Socio-Economic Development	5.00	4.79	Black Disabled	0.59%
Empowerment Financing and ESD	25.00	27.61	Black Unemployed	3.40%
Access to Financial Services	12.00	10.08	Black People living in Rural areas	8.34%
<b>Total Score</b>	<b>120.00</b>	<b>117.73</b>	Black Military Veterans	0%
B-BBEE Status Level		<b>Level 1</b>	Financial Year End	<b>30 June 2021</b>
B-BBEE Procurement Recognition %		<b>135%</b>	Participated in Y.E.S Initiative	<b>No</b>
Empowering Supplier		<b>Yes</b>	Achieved Y.E.S Target and 2.5% Absorption	<b>N/a</b>
Discount Principle Applied		<b>No</b>	B-BBEE Level Enhanced	<b>N/a</b>
Modified Flow Principle Applied		<b>No</b>	Re-issue Date	<b>N/a</b>
Exclusion Principle Applied		<b>Yes</b>	B-BBEE Certificate Version	<b>1v</b>
B-BBEE Level after enhancement		<b>N/a</b>	B-BBEE Recognition percentage after enhancement	<b>N/a</b>



**Technical Signatory - Nokuthula Sharon Lozane**

Date of issue: 17/09/2021

Expiry Date : 16/09/2022

This certificate is based on information provided to Mosela Rating Agency by the management of the measured entity and is an independent opinion based on verification, validation and analysis done by Mosela Rating Agency (Mosela Rating Agency is not responsible for ensuring completeness of information provided to support the B-BBEE status of a measured entity). The B-BBEE status has been determined in accordance with **the dti's** Amended Financial Sector Code gazetted on the 1<sup>st</sup> of December 2017.



BVA 080



# MOSELA RATING AGENCY

## Annexure A

**Certificate No:** GEN L1/7948/170921

Broad-based BEE status Level: **Level 1**

BEE procurement recognition percentage: **135%**

Empowering Supplier: **Yes**

Subsidiaries	Registration number	VAT number
FirstRand Bank Ltd	1929/001225/06	4210102051
First National Bank	1929/001225/06	4210102051
Rand Merchant Bank	1929/001225/06	4210102051
WesBank	1929/001225/06	4210102051
FirstRand Investment Holdings (Pty) Ltd	2001/023466/07	Not VAT Registered
RMB Private Equity Holdco 1 (Pty) Ltd	2007/018137/07	Not VAT Registered
RMB Private Equity (Pty) Ltd	2004/000073/07	Not VAT Registered
RMB Securities (Pty) Ltd	1988/003035/07	4740266350
RMB Morgan Stanley (Pty) Ltd	1995/011585/07	4330153216
FNB Stockbroking / portfolio management	1966/011732/07	4300186741
Direct Axis (Pty) Ltd	1995/006077/07	4540152388
Hyphen Technology (Pty) Ltd	1987/006392/07	4430160541
MotoVantage Holdings (Pty) Ltd	2014/182594/07	Not VAT Registered
FirstRand Investment Management Holdings	1995/004798/06	Not VAT Registered
Ashburton Fund Managers (Pty) Ltd	2002/013187/07	4110202241
FNB Investor Services (Pty) Ltd	2011/139123/07	4820262220
Ashburton Management Company	1996/002547/07	4880262227
FNB CIS Manco (RF) (Pty) Ltd	2006/036970/07	4930251691
FirstRand Insurance Holdings (Pty) Ltd	2014/266260/07	Not VAT Registered
FirstRand Life Assurance Ltd	2014/264879/06	4810268344
FirstRand Short-Term Insurance Ltd	2018/234369/06	4730282425
Engine Room (Pty) Ltd	2009/005040/07	4270269790
Motorite Administrators (Pty) Ltd	1997/000637/07	4480174616
Streamline Repairs (Pty) Ltd	2005/036668/07	4630228395
Smart UMA (Pty) Ltd	1999/016066/07	4550208880
MotoVantage Operations (Pty) Ltd	2015/031530/07	4330271133



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